

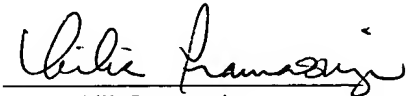
ATTY DOCKET NO.  
PUS 216 (1578.623)  
Formerly of 555255-012-622

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MARK DENNIS NORTON, ET.AL.  
Serial No. 10/704,507  
Filing Date: 07 November 2003  
Group Art Unit: 2681  
Examiner: Unknown  
Title: METHOD AND SYSTEM FOR PROCESSING  
MESSAGES IN A UNIVERSAL MOBILE  
TELECOMMUNICATIONS SYSTEM

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
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<p align="center"><b><u>CERTIFICATE OF MAILING UNDER 37 CFR § 1.8</u></b></p> <p>Date of Mailing: September 22, 2004</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop IDS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the above date.</p> <p align="center"> Lilis Pramasurja</p>
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Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §1.97 and §1.98 and § 609 of the Manual of Patent Examining Procedure. The patent information herein is listed below and on the attached Forms PTO/SB/08A and PTO/SB/08B.

<u>U.S. Patents</u>	<u>Date</u>	<u>Inventor</u>
6,125,123	June 26, 2000	Takayuki Furuno, et.al.

Non-Patent Literature Documents:

1. ETSI TS 125 331 V3 .10.10, "Universal Mobile Telecommunication System (UTMS) Radio Resource Control (RRC) Protocol Specification, March 1, 2003.
2. 3GPP TSG-RAN WG2 MEETING #28, "Interaction between Security Mode Control and CELL UPDATE," R2-020729, 8 April 2002 (XP002279392) Kobe, Japan.
3. 3GPP TSG-RAN WG2 MEETING #28, "Ciphering Activation Time of First TM RBs," R2-020780, 8 April 2002 (XP002279393) Kobe, Japan.

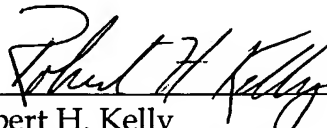
REMARKS

Applicant hereby expressly reserves the right to swear behind the effective date of the references and to question the relevance and materiality of the references cited, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

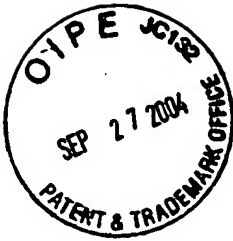
Respectfully submitted,

Scheef & Stone, L.L.P.

225/04  
Date

By   
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# SCHEEF & STONE, L.L.P.

Legal counsel based on solid principles.

## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

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Lilis Pramasuria

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September 22, 2004

DOCKET NUMBER: PUS-1216  
(1578.623)

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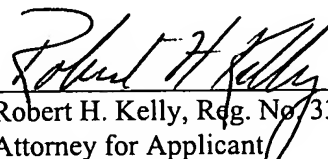
Sir:

In re: U.S. Application Serial No. 10/704,507  
Inventor: Mark Dennis Norton, et.al  
Title: **Method and Apparatus for Processing Messages in A  
Universal Mobile Telecommunications System**

Enclosed please find:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Form PTO/SB/08A and PTO/SB/08B;
- ☒ Change of Correspondence Address;
- ☒ Return post card

Respectfully submitted,

By:   
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Attorney for Applicant

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/704,507
Filing Date	07 November 2003
First Named Inventor	Mark Dennis Norton, et.al.
Art Unit	2681
Examiner Name	Unknown
Attorney Docket Number	PUS-1216 (1578.623)

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Application Number	10/704,507
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Sheet	2	of	2
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	ETSI TS 125 331 V3.10.10, " Universal Mobile Telecommunications System (UMTS); Radio Resource Control (RRC) Protocol Specification, March 1, 2003.	
	2	3GPP TSG-RAN WG2 MEETING #28: Interaction Between Security Mode Control and CELL UPDATE, R2-020729, 8 April 2002 (XP002279392), Kobe, Japan.	
	3	3GPP TSG-RAN WG2 MEETING #28: Ciphering Activation Time of the First TM RBs, R2-020780, 8 April 2002 (XP002279393), Kobe, Japan.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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